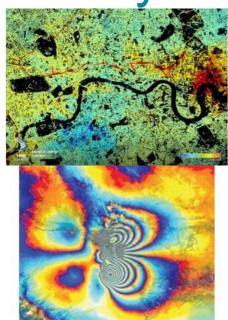
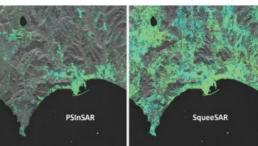


# ZOOMING IN ON A GROUNDBREAKING REVOLUTION

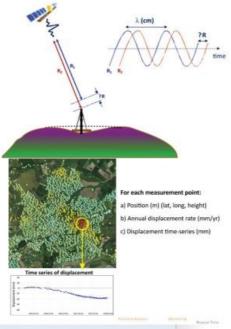
InSAR used to create ground















In a groundbreaking revolution, modern InSAR is now used by a range of industries concerned with ground or structural stability. So what are the key aspects of InSAR technology? And how can it be used to produce ground stability maps? Read this article to find out!

Satellite radar interferometry (InSAR) is a technique that can measure millimetrescale movement of the ground from space. It does this by comparing the return echoes, and thus the signal-path length, from two or more radar images taken over the same place, over time. Modern InSAR is now used by a range of industries concerned with ground or structural stability, from building development and control, to oil and gas production, to mining, to road and rail management. This article explains some key aspects of InSAR technology, including its innovative use to produce ground stability maps.



Figure 1: The principle of InSAR for measuring ground movement. (Copyright: TRE ALTAMIRA, 2018)

### Phase shifters

InSAR is based upon 'repeat-pass' (multitemporal) data collected by radar satellites orbiting the Earth at several hundreds of kilometres altitude. These satellites actively emit microwave radiation and record the echo on a pixel-by-pixel basis. The echo comprises a sine wave caught by the receiving antenna at a specific point in its 360° phase cycle. All things being equal, the echo response in any

subsequent image for this pixel will be caught at the same point. However, in real life, all things are not equal, and an amount of phase shifting between images can occur for various reasons, including; system noise, instrument vs target geometry, influence of topography, decorrelation due to ground-cell disturbance (e.g. vegetation movement), atmospheric refraction, and then the signal in which we are most interested; any difference in the signal path length due to movement of the ground away from or towards the satellite (see Figure 1).

The first three of these phase-shifters can be corrected. The effects of most vegetation, or other ground-cell decorrelation, cannot be overcome, but can be helpfully indexed as a quality measure of coherence. Signal refraction, however, cannot usually be corrected, and becomes a main limiting factor in the 'displacement resolution' of the InSAR process applied.



Figure 2: Example interferogram (Bam earthquake, Iran, 2003). Each  fringe' represents half the wavelength (~28mm) displacement in the satellite line of sight. (Copyright: TRE ALTAMIRA, 2018)

InSAR processing in its simplest form for movement detection uses two radar images of near identical specification, bracketing in time the event of interest, say, an earthquake. The phase data of the first radar scene is compared with that of the second one to produce an interferogram (see Figure 2) that shows the different range values between the two image dates - the displacement resolution of such a product is typically one or two centimetres at best and is limited mainly by the round trip of each radar signal through the

atmosphere. Indeed, rain-clouds and other atmospheric phenomena can severely refract signals and completely disrupt useful measurement.

Although conventional interferometry has its limitations, in some commercial scenarios such as open-cast mining where potential movements can be relatively large and rapid, these products can have real value in augmenting ground-based instruments to provide regular and fast safety assessments. However, for smaller, more subtle movements, the vagaries of our atmosphere were always going to prevent 2-scene differential interferometry from developing into a tool of more clinical precision.



Figure 3: Example persistent scatterer products. (Copyright: TRE ALTAMIRA, 2018)

# Persistence pays

Around the turn of the millennium, a team led By Professor Fabio Rocca at Milan Polytechnic came up with an elegant solution to the effects of the atmosphere that exploited the large archives of satellite radar data that were being systematically collected by ESA's ERS missions (Ferretti, Prati, & Rocca, 2001). The technique they invented looks at all the images archived over a certain location to identify arrays of ground features that naturally and persistently reflect back to the satellite in every image - 'persistent scatterers' (PS – sometimes referred to as 'permanent scatterers'). The phase for each scatterer is cross-compared across all the scenes of the archive to calculate any movement. By analysing a minimum number (a few tens) of images, the major effects of the atmosphere can be modelled out, and displacement resolution improved by an order of magnitude over conventional InSAR. Quite remarkably, using this technique, movements of the ground as small as a millimetre per year can be measured from 700km away in space. Persistent scatters are typically buildings, infrastructure, or even bare rocks, and in urban environments can number from a few hundred, to many thousands of points per square kilometre, depending on satellite resolution. In Figure 3 we can see an array of InSAR measurement points (permanent scatterers) related to a small town within an agricultural context. The points are colour-coded by average velocity; green points are stable, yellow-red points are moving away from the satellite, in this case, subsiding. Each point holds a time-series that can reveal trends in deformation.



Figure 4: Example PS vs SqueeSAR. (Copyright: TRE ALTAMIRA, 2018)

Since 2010, further refinements to the 'PS'-InSAR process have ensued to squeeze as much out of the data as possible. Persistent scatterers are related to the radar impulse responses of individual ground-cells, and their point spread functions result in single points (even though the satellite spatial resolution might be, say, 20m x 5m (Sentinel-1), a correctly-oriented, 1m trihedral corner reflector in an uncluttered field would still be clearly discernible). Through further advanced research, the arrays of PS measurement points have now been joined by arrays of 'Distributed Scatters' (DS), where clusters of ground cells with adequate coherence but low amplitude are aggregated to create a secondary form of measurement point, thus significantly increasing overall coverage (Ferretti, Novali, Rocca, & Rucci, 2011) (see Figure 4).

### **Products**

So, what are the actual products from the PS+DS InSAR process? The main output is the array of measurement points as a spreadsheet. For each point, its location, elevation, average velocity, time-series, and quality parameters are given. This data enabled a 2D vector plot to be visualised in a GIS where each point is colour-coded depending on velocity in the line-of-sight of the satellite. Depending on software, clicking on a point will reveal its time-series where the evolution of its motion can be examined. The overall period measured is user-specified and archive-dependent, but data for some applications go back to 1992, so ground motion trends over the last 26 years can be assessed. This data can be superimposed by other geospatial layers to determine any coincidence of ground motion to help assess risk.



Figure 5: InSAR-compliant radar satellites, past and present. (Copyright: TRE ALTAMIRA, 2018)

It is not suggested at all that InSAR is a panacea for monitoring ground motion, nor does it replace conventional survey techniques. It does, however, have its unique perspective and 'non-invasive' attributes, and can help prioritize ground surveys, or reduce their required frequency and density; moreover, it can provide displacement data over very wide areas (thousands of square km), where the cost of a conventional survey would be prohibitive and not always possible.

### Limitations

Although a remarkable technology, InSAR has limitations;

- Radar data availability: Data acquisition by the various InSAR-compliant satellites has not always been of consistent quality or
  adequate coverage, or of high enough frequency. Before any job, the archives have to be checked for suitable time sequences and
  for quality.
- Rural coverage: Ground-cell similarity between images decorrelates with time, and so the interval between data acquisition is critical

to getting good measurements. Currently, and especially at temperate latitudes, InSAR is unreliable over most types of vegetated landcover, limiting its application to the built (or rocky) environment. Soil moisture also directly effects penetration of the radar signal, and so variation in the former may result in phase changes mistaken for displacement. However, rural areas often contain linear or sporadic infrastructure from which good measurements can be taken, and movement of these can be indicative of a bigger picture.

- Where's the PS? The precise location of Persistent Scatterers cannot be predicted before processing (unless radar corner reflectors are specifically sited). What constitutes a PS depends on many factors such as materials, geometric shape, orientation, and aridity. Plus, some echoes may in fact be the result of bounces between multiple ground-based objects before the signal is returned to the satellite. This presents a marketing dilemma, particularly for more medium resolution products where the density of scatterers, even in towns, can be insufficient: the client wants to know if a certain area or feature is moving, but we don't know if there will be any measurement points in the right places until after we've invested in processing. Pre-processing and experience help overcome this problem.
- Costs: InSAR processing is complex, from accessing the right radar data to understanding what the outputs mean. InSAR service
  provision means advanced and complex software, high-performance computing power, and highly-qualified and expert staff. For
  higher resolution InSAR, there is also the high cost of the raw radar data needed. InSAR can be expensive and is not cost-effective
  in some scenarios.
- Market inertia: There can be resistance to disruptive technology, especially if significant investment has already been made in
  alternative survey technology. It's also not always clear to see exactly how InSAR might fit into an organization's existing workflow.
  The only answer here is education, in both directions. The pros and cons of using InSAR need to be balanced against using current
  methods. InSAR is now gaining traction and becoming accepted by a growing number of sectors.



Figure 6: UK InSAR map of ground stability. Colours indicate average ground velocity in mm per year. Greens are stable, yellow-red points are moving away from the satellite with blue points moving towards the satellite. (Copyright: TRE ALTAMIRA, 2018)

# The era of Copernicus

Copernicus is the world's largest single Earth observation programme, directed by the <u>European Commission</u> in partnership with ESA (<u>www.copernicus.eu</u>). The programme went live in 2014 with the launch of the first of the Copernicus Sentinel satellites, Sentinel-1a, a high-quality radar specifically configured for operational InSAR service provision. This was joined a year later by Sentinel-1b, an identical sister satellite placed in orbit 180° apart, to double temporal resolution of the overall mission to an InSAR-compliant repeat every six days. Sentinel-1 has since been joined in orbit by the (non-InSAR) Sentinel-2, 3 and 5 missions that are focusing on other issues such as agriculture, pollution and climate change. The data from all Sentinel missions are free and openly available via the Copernicus Open Access Hub (<a href="https://scihub.copernicus.eu">https://scihub.copernicus.eu</a>), although of course they need processing. For more information on the Sentinel missions, see <a href="https://sentinel.esa.int/web/sentinel/missions">https://sentinel.esa.int/web/sentinel/missions</a>.

# Sentinel-1

Sentinel-1 has disrupted the InSAR market - in a good way. Finally (at this 'medium' resolution), after 20 years of scrabbling around trying to develop commercial markets with unreliable or non-existent radar data, we now have reliable global coverage that repeats every six days from two different look-angles. That equates to 120 regular acquisitions a year over all areas. As less change is occurring in between image acquisitions, overall coherence is higher (although vegetated landcover still remains problematic). Given the right processing in the right circumstances, Sentinel-1 data provides clean, precise InSAR measurements. Furthermore, robustness and continuity are assured, with follow-on satellites already in the pipeline. This fosters confidence and enables the public and private sectors to build satellite services into their workflows and benefit from the many advantages of operational satellite remote sensing.

It must be emphasised that Sentinel-1 provides what we term 'medium-resolution' data, meaning that derived InSAR products are mostly suitable for wider-area, synoptic analysis of ground and structural motions, equivalent to around 1:50,000 scale mapping. Other higher resolution satellites are available for more detailed inspection of individual buildings or infrastructure. Sentinel-1 data can be considered useful for identifying ground motion hotspots over a wider area that might then demand a closer analysis with a higher resolution radar mission, such as AIRBUS's TerraSAR-X that will give results in urban scenarios to around 1:10,000 scale. Figure 5 shows the main InSAR-compliant missions, past and present.



Figure 7: Ground motion in London. (Copyright: TRE ALTAMIRA, 2018)

## Brave new world

Extraordinary volumes of data are downloaded from Sentinel-1, currently around 3.5TB per day (>1 PB per year). As of February 2019, 3.5 million Sentinel-1 products were available for download, their raw versions representing ~7PB total. Each raw radar image is around 8GB. The sheer amounts of data now available challenge computing power and traditional methods of image processing. Fortunately, through Moore's Law, computing power has kept up, and rather than analysing individual images, big-data principles mean that complete multi-temporal archives are being intelligently mined to extract new types of change-data. PS-InSAR was perhaps the 'remote sensing pioneer' in big data processing, pushing technological boundaries by processing complete archives, albeit over relatively small areas. Now, with advanced InSAR algorithms that exploit multi-node cloud computing, combined with the systematic radar data generation and supply that Senintel-1 affords, large-scale processing, and significantly pre-processing of very wide areas is not only practically possible, but already a commercial reality.

# UK map of ground stability

Based on the processing of 7,500 Sentinel-1 radar scenes covering 2016 and 2017, TRE ALTAMIRA have produced the first ever double

geometry PS-InSAR map of the UK, mapping the nation's ground stability to an unprecedented accuracy (see Figure 6). By integrating different satellite acquisition modes, motion vectors have been deconvolved to produce two geospatial layers; one of purely vertical motion, the other of just east-west (the satellite is not sensitive to ground motion parallel to its orbital path, which is nearly north-south around the Earth). Measurement points are provided on a regular grid to ease integration with other data.



Figure 8: Slope instability at Ventnor, IoW. (Copyright: TRE ALTAMIRA, 2018)

The map was updated mid-2018 with some improvements, with 2019 being updated quarterly. This is in fact the sixth very wide area product processed by TRE ALTAMIRA and made possible by Sentinel-1, the others being all of France, Denmark, Japan and Italy, plus a large part of California, USA. As mentioned, this data is not suitable for the monitoring of individual structures, but appropriate for widerarea identification of hotspots that might then require closer inspection (see Figures 7 and 8).

## Conclusion

This article has given a brief overview of the development of terrestrial InSAR since its pioneering beginnings in the mid 90s to the automatically computed national products that are based on data from truly operational and service-driven satellites. InSAR is not yet a commodity, and potential users still need to be careful who they choose to do their processing, and of course there will always be the demand for more customised InSAR processing, and with higher resolution satellites. Nonetheless, the Copernicus programme has broken new ground by providing some fantastic satellites by which it can be measured.

The original version of this article was published in Geomatics World March/April 2019

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### **Further reading**

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